

GOVERNMENT CORPORATION INFORMATION SHEET (GCIS)

FOR THE YEAR: 2020

GENERAL INSTRUCTIONS:

1. FOR GOVERNMENT CORPORATION: THIS GCIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF RELEASE OF MEMORANDUM CIRCULAR NO. 3 AND SUBSEQUENTLY THEREAFTER, 30 DAYS FROM THE DATE OF THE STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE GOVERNMENT CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GCIS TOGETHER WITH AN AFFIDAVIT OF NON-HOLDING OF MEETING WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE SCHEDULED ANNUAL MEETING (AS PROVIDED IN THE BY-LAWS). HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GCIS SHALL BE SUBMITTED/FILED.
3. THIS GCIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE GOVERNMENT CORPORATION.
4. THE GCG SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE GOVERNMENT CORPORATION SHALL SUBMIT ONLY THE AFFECTED PAGE OF THE GCIS THAT RELATES TO THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE GOVERNMENT CORPORATION. THE PAGE OF THE GCIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
5. SUBMIT A SOFT COPY IN EXCEL FORMAT SAVED IN A NON-REWRITABLE CD THE GCIS TO THE GCG SECRETARIAT, ROOM 479 MABINI HALL, MALACANANG COMPOUND.
6. ONLY THE GCIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.
7. THIS GCIS MAY BE USED AS EVIDENCE AGAINST THE GOVERNMENT CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

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| GOVERNMENT CORPORATION NAME: SUGAR REGULATORY ADMINISTRATION | | DATE CREATED: May 28, 1986 |
| SECONDARY NAME, IF ANY. none | | FISCAL YEAR END: |
| CHARTER, IF ANY. Executive Order No. 18, Series of 1986 | | CORPORATE TAX IDENTIFICATION NUMBER (TIN): 784-336-000 |
| DATE OF ANNUAL MEETING PER BY-LAWS: N. A. | | URL ADDRESS: www.sra.gov.ph |
| ACTUAL DATE OF ANNUAL MEETING: N. A. | | EMAIL ADDRESS: info@sra.gov.ph |
| COMPLETE PRINCIPAL OFFICE ADDRESS: Sugar Center Bldg. North Avenue, Diliman, Quezon City | | FAX NUMBER: 8929-6137 |
| COMPLETE BUSINESS ADDRESS: Sugar Center Bldg. North Avenue, Diliman, Quezon City | | TELEPHONE NUMBER(S): 8929-6137 |
| NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: N. A. | ATTACHED AGENCY (if applicable): none | GEOGRAPHICAL CODE: 1128 |
| PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: To promote the growth and development of the sugarcane industry through greater participation of the private sector and to improve the working conditions of the laborers, as embodied in E. O. No. 18, series of 1986. | | |
| ===== INTERCOMPANY AFFILIATIONS ===== | | |
| PARENT COMPANY | ADDRESS | |
| NONE | | |
| SUBSIDIARY / AFFILIATE | ADDRESS | |
| NONE | | |

page 1

GOVERNMENT CORPORATION INFORMATION SHEET

===== PLEASE PRINT LEGIBLY =====

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|---|----------------------|------------------|------------------|--|--|----------------|----------------|
| GOVERNMENT CORPORATION NAME: | | | | | | | |
| SUGAR REGULATORY ADMINISTRATION | | | | | | | |
| CAPITAL STRUCTURE | | | | | | | |
| AUTHORIZED CAPITAL STOCK, <i>if applicable</i> | | | | | | | |
| | | TYPE OF SHARES * | NUMBER OF SHARES | PAR/STATED VALUE | AMOUNT (PhP) (No. of shares X Par/Stated Value) | | |
| NOT APPLICABLE | | ----- | ----- | ----- | ----- | | |
| | | ----- | ----- | ----- | ----- | | |
| | | ----- | ----- | ----- | ----- | | |
| TOTAL | | | | TOTAL P | | | |
| SUBSCRIBED CAPITAL, <i>if applicable</i> | | | | | | | |
| FILIPINO | NO. OF STOCK-HOLDERS | TYPE OF SHARES * | NUMBER OF SHARES | NUMBER OF SHARES IN THE HANDS OF THE PUBLIC ** | PAR/STATED VALUE | AMOUNT (PhP) | % OF OWNERSHIP |
| NOT APPLICABLE | | ----- | ----- | ----- | ----- | ----- | ----- |
| | | ----- | ----- | ----- | ----- | ----- | ----- |
| | | ----- | ----- | ----- | ----- | ----- | ----- |
| TOTAL | | | TOTAL | TOTAL P | | | |
| PAID-UP CAPITAL, <i>if applicable</i> | | | | | | | |
| FILIPINO | NO. OF STOCK- | TYPE OF SHARES * | NUMBER OF SHARES | PAR/STATED VALUE | AMOUNT (PhP) | % OF OWNERSHIP | |
| NOT APPLICABLE | | ----- | ----- | ----- | ----- | ----- | |
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| TOTAL | | | TOTAL | TOTAL P | | | |
| NOTE: USE ADDITIONAL SHEET IF NECESSARY | | | | | | | |

GOVERNMENT CORPORATION INFORMATION SHEET

PLEASE PRINT LEGIBLY

GOVERNMENT CORPORATION NAME:

SUGAR REGULATORY ADMINISTRATION

DIRECTORS / OFFICERS

| NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS | PRESIDENTIAL APPOINTEE | BOARD | STOCK HOLDER | EX-Officio | EXEC. COMM. | TAX IDENTIFICATION NO. (TIN) | COMPENSATION PACKAGE/YR | DESIGNATION TO OTHER BOARDS OF AFFILIATES/SUBSIDIARIES |
|---|------------------------|-------|--------------|------------|-------------|----------------------------------|--|--|
| 1. Hermenegildo R. Serafica * Filipino / 939 Carlota Hills, Ormoc City | Y | C | N | N | N | 104-744-243 Administrator | Php 3,219,842.45 Jan. to Dec. 31, 2019 | Chairperson/Philip pine Sugar Corporation |
| 2. Roland B. Beltran** Filipino / 12 Palm Springs, Merville Park, Parañaque City | Y | M | N | N | N | | 127-448-284 Board Member | Php 70,000.00 Jan. to Dec. 31, 2020 |
| 3. Emilio Bernardino L. Yulo *** Dahlia St., Capitol Ville Subd, Bacolod City | Y | M | N | N | N | | 175-456-100 Board Member | Php 58,000.00 Jan. to Dec. 31, 2019 |
| 4. Atty. Ignacio S. Santillana*** Filipino / Block 9 Lot 12 Villa Angela, Manapla, Negros Occidental | N | | N | N | N | | 122-908-842 Deputy Administrator II | Php1,343,260.00 June 5, 2020 to Dec. 31, 2020 |
| 5. Atty. Guillermo C. Tejada, III* Filipino/Bonifacio Extension, Lag-asan, Bago City, Negros Occidental | N | | N | N | N | | 930-125-868 Deputy Administrator II | Php 1,278,100.00 June 5, 2020 to Dec. 31, 2020 |
| 6. Atty. Brando D. Noroña***** Blk 27 L 27 Phase I, 3rd St. Heritage Homes, Loma de Gato, Marilao, Bulacan | N | | N | N | N | | 228-898-961 Deputy Administrator II | Php1,427,418.00 June 5, 2020 to Dec. 31, 2020 |

GOVERNMENT CORPORATION INFORMATION SHEET

===== PLEASE PRINT LEGIBLY =====

GOVERNMENT CORPORATION NAME:

SUGAR REGULATORY ADMINISTRATION

| BOARD COMMITTEES | FUNCTION | COMPOSITION |
|--|-----------------------------|--|
| 1. Executive Committee | | Administrator Hermenegildo R. Serafica as Chairperson |
| 2. Governance Committee | <i>see additional sheet</i> | BM Emilio Bernardino L. Yulo as Chairperson |
| 3. Audit Committee | | BM Emilio Bernardino L. Yulo as Chairperson |
| 4. Risk Management Committee | | BM Emilio Bernardino L. Yulo as Chairperson |
| 5. Nomination & Remunerations Committee | | Adm Hermenegildo R. Serafica as Chairperson BM Roland B. Beltran as Alternate |
| 6. Infrastructure Committee | | BM Roland B. Beltran as Chairperson Adm Hermenegildo R. Serafica as Alternate |
| 7. Human Resource Development Committee | | BM Roland B. Beltran as Chairperson BM Emilio Bernardino L. Yulo as alternate |
| 8. Farm Mechanization Committee | | Adm Hermenegildo R. Serafica as Chairperson BM Roland B. Beltran as Alternate |
| 9. RDE Committee | | Adm Hermenegildo R. Serafica as Chairperson BM Emilio Bernardino L. Yulo as alternate |
| 10. Block Farm Program Committee | | Adm Hermenegildo R. Serafica as Chairperson BM Emilio Bernardino L. Yulo as alternate |
| 11. Mill District Development Program | | BM Emilio Bernardino L. Yulo as Chairperson BM Roland B. Beltran as Alternate |
| 12. Socialized Credit Program Management Committee | | BM Roland B. Beltran as Chairperson Adm Hermenegildo R. Serafica as Alternate |
| 13. Disaster Risk Reduction Management Committee | | BM Roland B. Beltran as Chairperson Adm Hermenegildo R. Serafica as Alternate |
| 14. Gender and Development Committee | | BM Roland B. Beltran as Chairperson |

NOTE: USE ADDITIONAL SHEET IF NECESSARY

GOVERNMENT CORPORATION INFORMATION SHEET

GOVERNMENT CORPORATION NAME: SUGAR REGULATORY ADMINISTRATION

| BOARD COMMITTEES | FUNCTION |
|-------------------------|--|
| 1. Executive Committee | <ul style="list-style-type: none"> • Assist in policy development and coordination; • Monitor the implementation of policies, projects and programs; and • Promote the development and improved performance of the SRA's various departments through a range of functions, duties and responsibilities. |
| 2. Governance Committee | <ul style="list-style-type: none"> • Overseeing the periodic performance evaluation of the Board and its committees and Management; and conducting annual self-evaluation of their performance; • Deciding whether or not a Sugar Board member is able to, and has been adequately carrying out his/her duties as Board Member bearing in mind the member's contribution and performance (i.e. competence, candor, attendance, preparedness and participation); • Recommending to the Board the continuing education of Directors, assignment to Board Committees, succession plan for the Executive Officers, and their remuneration commensurate with corporate and individual performances; and • Recommending the manner by which the Board's performance may be evaluated and proposing an objective performance criterion to be approved by the Board. |
| 3. Audit Committee | <ul style="list-style-type: none"> • Oversee, monitor and evaluate the adequacy and effectiveness of SRA's internal control system, engage and coordinate with the Commission on Audit (COA) and other external auditors; • Review and approve audit scope and frequency, and the annual internal audit plan, quarterly, semi-annual and annual financial statements before submission to the Board, focusing on changes in accounting policies and practices, major |

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| | <p>judgmental areas, significant adjustments resulting from the audit, going concern assumptions, compliance with tax, legal, regulatory and COA requirements;</p> <ul style="list-style-type: none"> • Receive and review reports of external auditors and regulatory agencies, appropriate corrective actions have been taken to address the issues on control and compliance functions with other regulatory agencies; • Ensure that internal auditors have free and full access to all SRA's records, properties and personnel relevant to, and required by its functions and that the internal audit activity shall be free from interference in determining its scope, performing its work and communicating its results; and • Develop a transparent financial management system that will ensure the integrity of internal control activities throughout SRA through a procedures and policies handbook that will be used by the entire organization. |
| <p>4. Risk Management Committee</p> | <ul style="list-style-type: none"> • Perform oversight risk management functions specifically in the areas of: a) management of financial liquidity, solvency and viability, organizational and operational stability and sustainability, legal, reputational and other risks and b) crisis management which includes receiving from Senior management periodic information on risk exposures and risk management activities; • Develop the Risk Management Policy and ensure that the risk management processes and compliances are embedded throughout the operations of SRA, especially at the Board and Management level; and • Provide quarterly reporting and update the Board on key risk management issues as well as ad hoc reporting and evaluation on investment proposals. |

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| <p>5. Nomination and Remunerations Committee</p> | <ul style="list-style-type: none"> • Install and maintain a nomination process that officers or employees to be nominated or appointed shall have the qualifications and none of the disqualifications mandated under the law, rules and regulations; • Review and evaluate the qualifications of all persons nominated to positions in SRA in which require appointment by the Board; • Recommend to GCG the nominees or appointees for the shortlist in line with SRA's Board composition and succession plan; and • Develop recommendations to the GCG for updating the CPCS consistent with SRA's culture, strategy, control environment, as well as the pertinent laws, rules and regulations. |
| <p>6. Infrastructure Committee</p> | <ul style="list-style-type: none"> • Prepare project proposals to implement the Infrastructure Program of SIDA Law, including the priority list of transloading ports, farm-to-mill roads master plan or road network plan and priority list of farm to mill roads at the mill district level, and priority list of sugarcane producing areas for construction or rehabilitation or appropriate irrigation facilities; • Submit the project proposals and work for their approval with SRA, and monitor their implementation; • Develop guidelines and/or mechanics for the identification and prioritization of projects under the Infrastructure Program for funding under the SIDA law; and • Other functions that will contribute or lead to the attainment of the objectives of the Infrastructure program. |
| <p>7. Human Resource Development Committee</p> | <ul style="list-style-type: none"> • Develop a deployment program of agricultural engineers, agriculturists and farm technicians that shall provide the necessary technical and professional assistance to sugarcane farmers; |

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| | <ul style="list-style-type: none"> • Come up with a Human Resource development master plan for the sugarcane industry which shall, among others, identify the needed priority expertise for deployment and fields of discipline to be included in the Scholarship program of the industry; and • Formulate and recommend to SRA the guidelines for the Scholarship program which includes the qualifications of scholars and identification of undergraduate, graduate, post doctorate courses including professorial chairs that are relevant to the sugarcane industry. |
| 8. Farm Mechanization Committee | <ul style="list-style-type: none"> • Formulate projects and activities to attain the objectives and recommend the same to SRA for adoption and implementation; • Develop a farm mechanization program for the sugarcane industry, and jointly with the Block Farm Committee, in consultation with LBP; and • Recommend to SRA the guidelines for registration and prioritization for funding of SRA-registered Common Service Centers. |
| 9. Research Development and Extension Committee | <ul style="list-style-type: none"> • Make recommendations to SRA on the projects, activities and researches that shall be conducted, undertaken or prioritized by SRA in line with the provision of SIDA law, taking into consideration the RD&E priorities identified in the sugarcane roadmap, the new and emerging technologies, changes in the investment climate and new thrusts of the sugarcane industry. |
| 10. Block Farm Program Committee | <ul style="list-style-type: none"> • Determine and recommend to SRA the programs, projects and activities to be developed, adopted, funded or prioritized under the Block Farm program; • Develop guidelines for sugarcane farms to qualify for and continue to participate in the program; • Provide farm management, technical assistance, and professional services |

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| | <p>support to block farms, in coordination with the DA, the DAR and other concerned government agencies;</p> <ul style="list-style-type: none"> • Monitor the development and productivity of block farms; • Recommend after six years the cessation of the program on block farms that have failed to improve productivity or raise efficiency, or graduation of block farms that have achieved high and sustainable productivity and profitability on its operations; and • Implement a certification system as a mechanism to access grants, low interest financing, and other incentives and support from Official Development Assistance (ODA) and market access of sugarcane. |
| <p>11. Mill District Development Program Committee</p> | <ul style="list-style-type: none"> • Develop the guidelines for the funding, prioritization and implementation of the development plan submitted by the Mill District Development Councils; • Make review every five (5) years or sooner when necessary the programs, projects, activities and implementation of SRA Roadmap and make recommendations to SRA for their amendment or modification; and • May call upon other government agencies and private sector stakeholders to participate in meetings. |
| <p>12. Socialized Credit Program Management Committee</p> | <ul style="list-style-type: none"> • Provide direction and formulate policies for the Socialized Credit program; • Monitor the performance of the Socialized Credit program; • Act on issues or concerns relative to program implementation; and • Conduct performance evaluation of Socialized Credit program. |
| <p>13. Disaster Risk Reduction Management Committee</p> | <ul style="list-style-type: none"> • Formulate of the Disaster Risk Reduction and Management Plan (DRRMP) for the sugarcane industry; • Source funds to mobilize the programs and projects of DRRMP; |

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| | <ul style="list-style-type: none"> • Implement the sugarcane DRRMP; and • Monitor and evaluate regularly the progress of the DRRMP programs/projects. |
| 14. Gender and Development Committee | <ul style="list-style-type: none"> • Provide direction and give policy advice to the Administrator to support and strengthen the GAD Focal Point System (GFPS) and SRA's GAD mainstreaming activities; • Direct the identification of GAD strategies, programs, activities and projects based on the results of the gender audit, gender analysis and according to the identified priorities of the agency in response to the gender issues faced by its clients and employees; • Ensure the timely submission of the agency's GAD Plan and Budget, Accomplishment Report and other GAD-related reports to the PCW, DBM and COA; • Ensure the effective and efficient implementation of the agency GAD programs, activities and projects and the judicious utilization of the GAD budget; • Build and strengthen the partnership of the agency with PCW, GAD experts, advocates, women's groups and other stakeholders in pursuit of gender mainstreaming; • Recommend approval of the agency's GAD Plans and Budgets and GAD's ARs; and • Recommend awards or recognition to outstanding institutional GAD programs, activities and projects and/or GAD Focal Point members. |

GOVERNMENT CORPORATION INFORMATION SHEET

===== PLEASE PRINT LEGIBLY =====

GOVERNMENT CORPORATION NAME:

SUGAR REGULATORY ADMINISTRATION

| LIST OF AFFILIATES/SUBSIDIARIES | DESCRIPTION | NATURE/ EXTENT OF INVESTMENT |
|---------------------------------|-------------|------------------------------|
| NONE | | |

NOTE: USE ADDITIONAL SHEET IF NECESSARY

GOVERNMENT CORPORATION INFORMATION SHEET

| PLEASE PRINT LEGIBLY | | | | | | |
|---|-------------------|--------|--------------|----------------|----------------------------------|--|
| GOVERNMENT CORPORATION NAME: SUGAR REGULATORY ADMINISTRATION | | | | | | |
| TOTAL NUMBER OF STOCKHOLDERS: NONE | | | | | | |
| TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: | | | | | | |
| STOCKHOLDER'S INFORMATION | | | | | | |
| NAME, NATIONALITY, IF APPLICABLE AND CURRENT ADDRESS | SHARES SUBSCRIBED | | | | AMOUNT PAID (PhP), IF APPLICABLE | TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS |
| | TYPE | NUMBER | AMOUNT (PhP) | % OF OWNERSHIP | | |
| 1. NONE | | | | | | |
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| | TOTAL | | | | | |
| TOTAL AMOUNT OF SUBSCRIBED CAPITAL | | | | | | |
| TOTAL AMOUNT OF PAID-UP CAPITAL | | | | | | |

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GOVERNMENT CORPORATION INFORMATION SHEET

===== PLEASE PRINT LEGIBLY =====

| CORPORATE NAME: SUGAR REGULATORY ADMINISTRATION | | | | | | |
|--|-------------------|--------|-----------------|------------------------|----------------------|---------------------------------|
| TOTAL NUMBER OF STOCKHOLDERS: NONE | | | | | | |
| TOTAL ASSETS BASED ON LATEST AUDITED FS: | | | | | | |
| STOCKHOLDER'S INFORMATION | | | | | | |
| NAME, NATIONALITY, IF APPLICABLE AND CURRENT ADDRESS | SHARES SUBSCRIBED | | | | AMOUNT PAID (PhP) | TAX IDENTIFICATION NO. (TIN) |
| | TYPE | NUMBER | AMOUNT (PhP) | % OF OWNER- SHIP | | |
| 8. NONE | | | | | | |
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| | TOTAL | | | | | |
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| | TOTAL | | | | | |
| TOTAL AMOUNT OF SUBSCRIBED CAPITAL | | | | | | |
| TOTAL AMOUNT OF PAID-UP CAPITAL | | | | | | |
| INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS | | | | | | |
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GOVERNMENT CORPORATION INFORMATION SHEET

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| CORPORATE NAME: | | | | | | |
|--|-------------------|--------|-----------------|---------------------------------|----------------------|-------------------------------------|
| SUGAR REGULATORY ADMINISTRATION | | | | | | |
| TOTAL NUMBER OF STOCKHOLDERS: NONE | | | | | | |
| TOTAL ASSETS BASED ON LATEST AUDITED FS: | | | | | | |
| STOCKHOLDER'S INFORMATION | | | | | | |
| NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS | SHARES SUBSCRIBED | | | | AMOUNT PAID (PhP) | TAX IDENTIFICATIO N NO. (TIN) |
| | TYPE | NUMBER | AMOUNT (PhP) | % OF OWNER- SHIP | | |
| 15. NONE | | | | | | |
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| | TOTAL | | | | | |
| 21. OTHERS (Indicate the number of the remaining stockholders) | | | | | | |
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| | | | | | | |
| | TOTAL | | | | | |
| TOTAL AMOUNT OF SUBSCRIBED CAPITAL | | | | | | |
| | | | | TOTAL AMOUNT OF PAID-UP CAPITAL | | |
| INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS | | | | | | |
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GOVERNMENT CORPORATION INFORMATION SHEET

| : : : : : PLEASE PRINT LEGIBLY : : : : : | | | |
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| GOVERNMENT CORPORATION NAME: | | | |
| SUGAR REGULATORY ADMINISTRATION | | | |
| 1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION | AMOUNT (PhP) | DATE OF BOARD RESOLUTION | |
| 1.1 STOCKS - NONE | | | |
| 1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations, IF APPLICABLE) N.A. | | | |
| 1.3 LOANS/ CREDITS/ ADVANCES NONE | | | |
| 1.4 GOVERNMENT TREASURY BILLS NONE | | | |
| 1.5 OTHERS | | | |
| 2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:) | DATE OF BOARD RESOLUTION | DATE OF STOCKHOLDERS RATIFICATION | |
| | | | |
| 3. TREASURY SHARES - NONE | NO. OF SHARES | % AS TO THE TOTAL NO. OF SHARES ISSUED | |
| | | | |
| 4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR - NONE | | | |
| 5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR: 2019 | | | |
| TYPE OF DIVIDEND | AMOUNT (PhP) | DATE DECLARED | |
| 5.1 CASH | Php 659,549,462 | April 2020 | |
| 5.2 STOCK | | | |
| 5.3 PROPERTY | | | |
| TOTAL | P | | |
| 6. ADDITIONAL SHARES ISSUED DURING THE PERIOD: | | | |
| DATE | NO. OF SHARES | AMOUNT | |
| | | | |
| SECONDARY LICENSE/REGISTRATION WITH SEC AND/OR OTHER GOV'T AGENCY: | | | |
| NAME OF AGENCY: | SEC | BSP | IC |
| TYPE OF LICENSE/REGN. | | | |
| DATE ISSUED: | | | |
| DATE STARTED OPERATIONS: | | | |
| TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP) | TOTAL NO. OF OFFICERS | TOTAL NO. OF RANK & FILE EMPLOYEES | TOTAL MANPOWER COMPLEMENT |
| | | | |
| NOTE: USE ADDITIONAL SHEET IF NECESSARY | | | |

GOVERNMENT CORPORATION INFORMATION SHEET

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GOVERNMENT CORPORATION NAME:
SUGAR REGULATORY ADMINISTRATION

SCHEDULE OF CAPITAL EXPENDITURE

| PARTICULARS | SECTOR | FOREIGN COMPONENT | | NO SUPPORT SUBSIDY | | Bank loans |
|---|---------------------|------------------------------|-----------------------|--------------------|-----|------------|
| | | Loan | Grant | Project | Tax | |
| | | | | | | |
| | Other Source | Total Local Component | Total Cost | Status | | |
| Furnitur, Fixtures and Books | COB | 1,667,171.00 | 1,667,171.00 | | | |
| Machinery and Equipment | COB | 75,420,174.51 | 75,420,174.51 | | | |
| Information and Communications Technology Equipment | COB | 1,613,317.41 | 1,613,317.41 | | | |
| Transportation Equipment | COB | 25,262,216.00 | 25,262,216.00 | | | |
| Buildings and Other Structures | COB | 3,941,506.47 | 3,941,506.47 | | | |
| Other Property, Plant and Equipment | COB | 10,776,893.75 | 10,776,893.75 | | | |
| TOTAL | | 118,701,279.14 | 118,701,279.14 | | | |

GOVERNMENT CORPORATION INFORMATION SHEET

===== PLEASE PRINT LEGIBLY =====

GOVERNMENT CORPORATION NAME:
SUGAR REGULATORY ADMINISTRATIONSTATEMENT OF FINANCIAL PERFORMANCE
For the year : 2019

| REVENUE | Amount |
|--|----------------------|
| Service and Business Income | 666,732,946 |
| Total Revenue | 666,732,946 |
| LESS: CURRENT OPERATING EXPENSES | |
| Personnel Services | 188,997,746 |
| Maintenance and Other Operating Expenses | 771,611,242 |
| Financial Expenses | 153,144 |
| Non-Cash Expenses | 33,444,498 |
| Total Current Operating Expenses | 994,206,630 |
| SURPLUS FROM CURRENT OPERATIONS | (327,473,684) |
| Other Non-Operating Income | 940,244 |
| Gains | 252,414 |
| SURPLUS BEFORE TAX | (326,281,026) |
| Less: Income Tax | 67,126,113 |
| SURPLUS AFTER TAX | (393,407,139) |
| Assistance and Subsidy - SIDA Fund | |
| NET SURPLUS FOR THE PERIOD | (393,407,139) |

| GOVERNMENT CORPORATION INFORMATION SHEET | |
|--|------------------------|
| ===== PLEASE PRINT LEGIBLY ===== | |
| GOVERNMENT CORPORATION NAME: SUGAR REGULATORY ADMINISTRATION | |
| CASH FLOW STATEMENT For the year : <u>2020</u> | |
| CASH FLOW FROM OPERATING ACTIVITIES | Amount |
| Cash Inflows | 725,580,850 |
| Cash Outflows | (1,524,936,403) |
| Net Cash Provided By (Used In) Operating Activities | (799,355,553) |
| CASH FLOWS FROM INVESTING ACTIVITIES | |
| Cash Inflows | 1,878,366 |
| Cash Outflows | (85,683,769) |
| Net Cash Provided By (Used In) Investing Activities | (83,805,403) |
| CASH FLOWS FROM FINANCING ACTIVITIES | |
| Cash Inflows | - |
| Cash Outflows | (659,549,462) |
| Net Cash Provided By (Used In) Financing Activities | (659,549,462) |
| Net Increase (Decrease) in Cash and Cash Equivalents | (1,542,710,418) |
| Effects of Exchange Rate Changes on Cash and Cash Equivalents | - |
| Cash and Cash Equivalents, Beginning of Period | 2,533,115,956 |
| Cash and Cash Equivalents, ending of Period | 990,405,538 |

GOVERNMENT CORPORATION INFORMATION SHEET

===== PLEASE PRINT LEGIBLY =====

GOVERNMENT CORPORATION NAME:
SUGAR REGULATORY ADMINISTRATION |

For the year : 2020

CASH AND INVESTMENT BALANCE REPORT

| | AMOUNT | TERM (No. of Days) | RATE (Per Annum) | DATE | |
|--|----------------|--------------------|------------------|------------|-----------|
| I. Cash on Hand | 7,892,666.00 | | | | |
| II. Cash in Banks | 982,512,872.00 | | | | |
| III. Net Deposits with BTr | - | | | | |
| IV. Special Series (Treasury Bills) with Bangko Sentral (Identify those under trust agreements) | - | | | | |
| V. Special Series Placements with BTr (Identify those under trust agreements) | 35,703,586.00 | 91 | 1.0275 | 12/16/2020 | 3/17/2021 |
| VI. Investments in Other Securities | 82,000.00 | | | | |
| VII. Others | - | | | | |

| GOVERNMENT CORPORATION INFORMATION SHEET | | |
|---|--|----------------------|
| ===== PLEASE PRINT LEGIBLY ===== | | |
| GOVERNMENT CORPORATION NAME: | | |
| SUGAR REGULATORY ADMINISTRATION | | |
| STATEMENT OF FINANCIAL POSITION | | |
| For the Year: 2020 | | |
| | ASSETS | Amount |
| Current Assets | | |
| | Cash | 990,405,538 |
| | Investments | 35,785,586 |
| | Receivables, net | 1,405,166,325 |
| | Inventories | 2,747,654 |
| | Other Current Assets | 14,069,936 |
| | Total Current Assets | 2,448,175,039 |
| Non-Current Assets | | |
| | Receivables - net | 213,110 |
| | Investment property - net | 48,586,583 |
| | Property and equipment - net | 337,063,871 |
| | Intangible assets | 472,000 |
| | Other non-current assets | 850,573 |
| | Total Non-Current Assets | 387,186,137 |
| | Total Assets | 2,835,361,176 |
| | LIABILITIES | |
| Current Liabilities | | |
| | Financial Liabilities | 79,961,675 |
| | Inter-Agency Payables | 4,453,363 |
| | Trust Liabilities | 61,848,551 |
| | Other Payables | 84,135,654 |
| | Total Current Liabilities | 230,399,243 |
| Non-Current Liabilities | | |
| | Financial liabilities | 5,574,943 |
| | Inter-agency payables | 8,560,231 |
| | Trust liabilities | 1,417,228 |
| | Provisions | 89,749,694 |
| | Deferred credits | 122,358 |
| | Other payables | 21,413,043 |
| | Total Non-Current Liabilities | 126,837,497 |
| | Total Liabilities | 357,236,740 |
| | Total Assets Less Total Liabilities | 2,478,124,436 |
| | NET ASSETS/EQUITY | |
| Government Equity | | |
| | Government Equity | 2,478,124,436 |
| | Total Government Equity | 2,478,124,436 |
| | Total Net Assets/Equity | 2,478,124,436 |

GOVERNMENT CORPORATION INFORMATION SHEET

===== PLEASE PRINT LEGIBLY =====

GOVERNMENT CORPORATION NAME:
SUGAR REGULATORY ADMINISTRATIONSCHEDULE OF INCOME
FOR THE YEAR: 2020

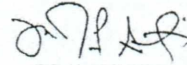
| | <i>Amount</i> |
|---|--------------------|
| Service and Business Income | 666,732,946 |
| Service Income | 639,955,884 |
| Permit Fees | 94,095,917 |
| Registration Fees | 6,258,000 |
| Clearance and Certification Fees | 340,639,335 |
| Licensing Fee | 118,250 |
| Supervision and Regulation Enforcement Fees | 194,404,704 |
| Processing Fees | |
| Fines and Penalties - Service Income | 820,195 |
| Other Service Income | 3,619,483 |
| Business Income | 26,777,062 |
| Rent Income | 16,902,261 |
| Income from Printing and Publication | 1,175,475 |
| Interest Income | 2,743,074 |
| Other Business Income | 5,956,252 |
| | |
| Assistance and Subsidy | - |
| Assistance and Subsidy | |
| | |
| Gains | 252,414 |
| Gains | 252,414 |
| Gain on Sale of Investment Property | 247,814 |
| Gain on Sale of PPE | 4,600 |
| | |
| Other Non-Operating Income | 940,244 |
| Miscellaneous Income | 940,244 |
| Miscellaneous Income | 940,244 |
| | |
| TOTAL REVENUE | 667,925,604 |

I, MANUEL SANTOS, JR. Corporate Secretary OF THE ABOVE-MENTIONED
(NAME) (POSITION)

GOVERNMENT CORPORATION DECLARE UNDER THE PENALTY OF PERJURY, THAT ALL MATTERS SET FORTH IN THIS GOVERNMENT CORPORATION INFORMATION SHEET WHICH CONSISTS OF () PAGES HAVE BEEN MADE IN GOOD FAITH, DULY VERIFIED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF, ARE TRUE AND

I UNDERSTAND THAT THE FAILURE OF THE CORPORATION TO FILE THIS GIS FOR FIVE (5) CONSECUTIVE YEARS SHALL BE CONSTRUED AS NON-OPERATION OF THE CORPORATION AND A GROUND FOR THE REVOCATION OF THE CORPORATIONS CERTIFICATE OF INCORPORATION. IN THIS EVENTUALITY, THE CORPORATION HEREBY WAIVES ITS RIGHT TO A HEARING FOR THE SAID REVOCATION.

DONE THIS 1 DAY OF JULY, 20 21 IN Quezon City



(SIGNATURE)

SUBSCRIBED AND SWORN TO BEFORE ME IN Quezon City CITY/PROVINCE, PHILIPPINES ON

JUL 01 2021 AFFIANT PERSONALLY APPEARED BEFORE ME AND EXHIBITED TO ME HIS/HER COMMUNITY

TAX CERTIFICATE NO. _____ ISSUED AT _____ ON _____ 200__

Atty. RONALD E. RIMANDO

Notary Public - Quezon City

Commission until Dec. 31, 2022

DOC. NO. 96 :
PAGE NO. 12 :
BOOK NO. 14 :
SERIES OF 2021 :

NOTARY PUBLIC FOR _____ CITY/PROVINCE
Notarial Commission No. _____
Commission expires on December 31, IBP No. 108424/1-07-21/ Manila III
Roll of Attorney Number _____
PTR No. _____ PTR No. 0782738/1-15-21/Quezon City
IBP No. _____ Roll No. 49199/MCLE No. VI-0017813
Office Address: _____ Sugar Cntr Bldg., North Ave., Quezon City